

Please type a plus sign (+) inside this box → ☐

AUG 13 2001

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/839,645		
		Filing Date	April 20, 2001		
		First Named Inventor	Dietrich Charisius, et al.		
		Group Art Unit	2121		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	30013630-0012

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
TI		Unified Modeling Language (UML) UML BOOCH & OMT Quick Reference for Rational Rose 4.0	
		Rational Rose Corporation, Rational Rose Release 4.0 (November 1996) "Using Rational Rose 4.0" Chapters 3-11, pages 11-207	
		Object-Oriented Software Engineering, A Use Case Driven Approach 1996 Jacobson, Ivar Part I Chapters 1-5, Part II Chapters 6-12, Part III Chapters 13-16, pages 1-500	
TI		UML Distilled Applying the Standard Object Modeling Language 1997 Martin Fowler with Kendall Scott, Chapters 1-11, pages 1-173	

Examiner Signature		Date Considered	7/28/04
-----------------------	---	--------------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#5

Please type a plus sign (+) inside this box →

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of info unless it contains a valid OMB control no.

Substitute for form 1449B/PTO		INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Complete if Known	
				Application Number	09/839,645
		Filing Date	April 20, 2001		
		First Named Inventor	Dietrich Charisius, et al.		
		Group Art Unit	2121-2124		
		Examiner Name	Not Yet Assigned		
		Attorney Docket Number	30013630-0012		
Sheet	1	of	1		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
TH		JAMES MARTIN, "Principles of Object-Oriented Analysis and Design," Prentice Hall, October 29, 1992, Chapters 1-22	
		M.M. BURNETT, et al., "Visual Object-Oriented Programming Concepts and Environments," Manning Publishing, 1994, Chapters 1-12	
		ERICH GAMMA, et al., "Design Patterns Elements of Reusable Object-Oriented Software," Addison-Wesley, 1994, Chapter 1	
		WAYNE CITRIN, et al., "A Formal Definition of Control Semantics in a Completely Visual Language," September 1993, Mac World	
		RR SOFTWARE INC., "JANUS/ADA 95 Compiler User Manual," Version 5.1 December 1995, pages 6-1 to 6-48	
		F. PENZ, "Visual Programming in the ObjectWorld," Journal of Visual Languages and Computing, March 1991, Vol. 2, p. 17-41	
		L. VANHELSUWE, "Mastering JAVABEANS," Sybex, Chapter 2	
TH		DAVID WITHEY MCINTRYE, "A Visual Method for Generating Iconic Programming Environments," UMI Dissertation Services	

Examiner Signature		Date Considered	9/28/04
--------------------	---	-----------------	---------

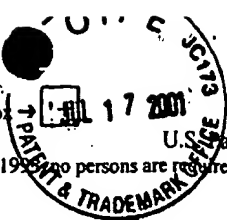
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#4

Please type a plus sign (+) inside this box



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of info unless it contains a valid OMB control no.

RECEIVED
JUL 19 2001
Technology Center 2100
TJG/SL

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known			
		Application Number	09/839,645		
		Filing Date	April 20, 2001		
		First Named Inventor	Dietrich Charisius, et al.		
		Group Art Unit	2121- 2121		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	30013630-0012

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
			</			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
TJ		EP	1 030 252	A1	Sun Microsystems	08/23/00		
TJ		EP	1 030 242	A2	Fujitsu Limited	08/23/00		

Examiner Signature		Date Considered	9/28/01
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

was considered
9/28/01
Initials
9/28/01
TJ

#A